

PROFESSIONAL WEALTH MANAGEMENT



PERSONAL INFORMATION

CLIENT NAME(S):

Client 1:	Date of Birth:
Client 2:	Date of Birth:

RETIREMENT AGE (66 IF NOT SPECIFIED)

Client 1:	Client 2:
-----------	-----------

CHILDREN

Name:	Date of Birth:
Name:	Date of Birth:
Name:	Date of Birth:

LOVED ONES WHO YOU MAY NEED/WANT TO PROVIDE FOR

Name:	Date of Birth:
Name:	Date of Birth:

ASSETS

Assets Held Here		Assets Held with Other Advisors		
Non Qualified	\$	+	\$	= Total \$
(Checking, Savings, Mutual Funds, Stocks, Bonds, etc)				
Qualified	\$	+	\$	= Total \$
(IRA's, 401-k's, etc)				

Real estate assets such as your primary residence, vacation homes, rental properties, personal property.

Ownership	Description	Current Market Value	Cost Basis	Purchase Date



INCOME BEFORE RETIREMENT

Salary, bonus, part-time income, inheritance.

Description	Recipient	Annual Pre-Tax Income	Taxable	Start Date	End Date	Inflation Adjusted

INCOME DURING RETIREMENT

Pension, part-time income, etc.

Description	Recipient	Annual Pre-Tax Income	Taxable	Start Date	End Date	Inflation Adjusted

SOCIAL SECURITY

Monthly amount for client 1 Age 62 \$	Age 66/67 \$	Age 70 \$
Monthly amount for client 2 Age 62 \$	Age 66/67 \$	Age 70 \$
(Social Security information can be found on ssa.gov)		

EXPENSES

Current Living Expenses (After tax dollars) \$
Expected Retirement Living Expenses (After tax in today's dollars) \$

Other anticipated expenses or major purchases - Additional homes, weddings, luxury purchases, extra travel.

Description	Estimated Annual Amount	Start Date	End Date



CURRENT SAVINGS STRATEGIES

Monthly Savings until Retirement (Qualified) \$ (IRA's, 401-k's, etc)
Monthly Savings until Retirement (Non-Qualified) \$ (Checking, Savings, Mutual Funds, Stocks, Bonds, etc)

LIABILITIES

Outstanding debt such as mortgages, home equity lines of credit, personal loans.

Type of Debt	Ownership	Current Principal Owed	Payment	Interest Rate	Years Remaining

DO YOU HAVE EDUCATIONAL FUNDING NEEDS?

yes no

DO YOU HAVE A WILL/TRUST?

yes no

DO YOU OWN

Life Insurance yes no Term Permanent
 Long-term Care yes no
 Disability Insurance yes no

DO YOU HOLD BUSINESS INTERESTS?

C-Corp, S-Corp, Sole Proprietor, LLC

yes no

DO YOU HAVE EMPLOYEE STOCK OPTIONS

yes no

Our firm does not provide tax or legal advice. All decisions regarding the tax or legal implications of your investments should be made in connection with your independent tax or legal advisor. RBC Correspondent Services and/or RBC Advisor Services, divisions of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC, may provide custody services for accounts managed by your financial advisor. The referenced product or service is available through that relationship.